

CHKLC DIRECTOR TRAINING SERIES 2023

A six-part training programme aiming at supporting directorship in Hong Kong
(Total 12 CPT Hours)



20 Apr / 25 May / 15 Jun / 14 Sep / 12 Oct / 9 Nov 2023



Thursday 16:00 – 18:00

**REGISTER
NOW**



Company directors play a pivotal role in company success. With the increasing demand of corporate governance and ESG of listed companies both from the regulators and shareholders, coupled with the tightening of various sets of rules and regulations with more serious consequences, company directors operate in an increasingly challenging environment. Once again, the Chamber of Hong Kong Listed Companies is launching a training programme for company directors. Addressing the common issues faced by directors, the programme will equip directors with the most relevant information and updated knowledge about directorship and will help them discharge their duties effectively.

The whole programme comprises six sessions dealing with the important aspects of directorship for a listed company. These range from corporate governance and ESG, risk management, taxation to the latest updates in various applicable rules and laws. Attending this training series will help fulfill the training requirements under the revised Code of Corporate Governance by the HKEX.

The Chamber is pleased to partner with BDO in offering this programme whose involvement ensures the relevance of the topics covered and adds depth to the discussion.

Date: 20 Apr / 25 May / 15 Jun / 14 Sep / 12 Oct and 9 Nov 2023

Time: Thursday 16:00 – 18:00

The webinars will be delivered via ZOOM

Venue: (Login details will be provided to participants in the confirmation email)

Language: Cantonese


Fee:		<u>Full Programme</u>	<u>Per Session(s)</u>
	CHKLC Member / BDO Clients & Contacts	HKD3,240.00	HKD600.00
	Others	HKD3,780.00	HKD700.00


CPT: 2 CPT Hours Per Session (Total 12 CPT Hours)


Topics:

1. Hong Kong Tax & PRC Tax
2. Cybersecurity and data protection update
3. SFC and HKEX's Regulatory Oversight, Enforcement Actions and Director's Liabilities
4. Governance and oversight over digital transformation in modern business operations
5. New requirements of ISSB Standards and reporting trend update
6. Annual Regulatory Update 2023

Enquiry: CHKLC Secretariat

 (852)2970 0886-7

 (852)2970 0555

 info@chkcl.org

CHKLC reserves the right of final discretion should there be any changes to the event.

www.chkcl.org



SESSION 1: HONG KONG TAX AND PRC TAX

Agnes Cheung, Director & Head of Tax | BDO
Shirley Yu, Senior Manager of Tax | BDO

20 APR (THU)
(2 CPT)

Overview: |

- Hong Kong Tax
 1. Updates on the Foreign Sourced Income Exemption (FSIE) Regime
 - Key features of the new FSIE regime
 - European Union's latest guidelines and its impact on Hong Kong
 - What to expect in 2023 in relation to FSIE
 2. New Era of the Electronic Filing (e-Filing) of Profits Tax Returns
 - Introduction of the Department Information and Technology Plan
 - Roadmap of the Inland Revenue Department's e-Filing Project
 - Impacts on taxpayers
- PRC Tax
 1. Tax Policy Update on
 - Hainan Free Trade Zone Corporate Income Tax Incentive
 - R&D expense super-deduction
 - Stamp Duty Law
 2. Tax Audit Case Update covering
 - Cross-border related party transactions
 - Transfer pricing audit
 - In-kind capital contribution into PRC subsidiary
 - Equity transfer

SESSION 2: CYBERSECURITY AND DATA PROTECTION UPDATE

Pokit Lok, Principal of Risk Advisory | BDO

25 MAY (THU)
(2 CPT)

Overview: |

- Recent regulatory development relating to Cybersecurity and Data Protection
- Case study of selective cybersecurity / data leakage incidents
- Latest technological trend on cybersecurity and data protection

SESSION 3: SFC AND HKEX'S REGULATORY OVERSIGHT, ENFORCEMENT ACTIONS AND DIRECTOR'S LIABILITIES

Sherman Yan, Managing Partner, Head of Litigation & Dispute Resolution | ONC Lawyers

15 JUN (THU)
(2 CPT)

Overview: |

- Explain SFC and HKEX's regulatory powers over listed issuers and directors
- Analyse themes of recent enforcement actions with real case illustration
- Common pitfalls for directors in SFO and listing rules compliance

SESSION 4: GOVERNANCE AND OVERSIGHT OVER DIGITAL TRANSFORMATION IN MODERN BUSINESS OPERATIONS

14 SEP (THU)
(2 CPT)

Pokit Lok, Principal of Risk Advisory | BDO

Andrew Yung, Senior Manager of Digital Transformation Services | BDO

Overview: |

- Benefits and challenges of digital transformation in modern business operation
- Best practices for governance and oversight over digital transformation
- The role of leadership in digital transformation

SESSION 5: NEW REQUIREMENTS OF ISSB STANDARDS AND REPORTING TREND UPDATE

12 OCT (THU)
(2 CPT)

Ricky Cheng, Director & Head of Risk Advisory | BDO

Overview: |

- Background and introduction
- Requirements of S1
- Requirements of S2
- Potential challenges and recommendations

SESSION 6: ANNUAL REGULATORY UPDATE 2023

9 NOV (THU)
(2 CPT)

Daniel Wan, Partner, Head of Capital Market | Grandall Zimmem Law Firm

Overview: |

- An annual update on the laws and regulations that affect or are of concern to listed companies
- An annual date on the amendments (if any) to the Listing Rules, the Securities and Futures Ordinance, and the Companies Ordinance affecting listed companies



Agnes Cheung
Director & Head of Tax, BDO

Agnes Cheung is the Director and Head of Tax of BDO. Agnes has extensive experiences in providing Hong Kong and international tax compliance and advisory services to multinational companies as well as Chinese state-owned enterprises across different industries. In particular, Agnes is experienced in advising group restructuring, merger and acquisition and cross border transactions.

Agnes also specializes in the asset and wealth management industry. She has advised numerous private equity, venture capital and hedge funds, as well as family offices on their tax issues including top side and downstream structuring, eligibility for preferential tax treatments, field audit and tax investigation by the IRD. She is specialized in the Hong Kong offshore fund law, offshore fund law for private equity funds and the newly enacted unified fund exemption and carried interest exemption regimes.

Agnes has acted as a technical reviewer in assisting Wolters Kluwer to review the Hong Kong Master Tax Guide for almost 10 years. She is a frequent speaker and contributor of articles on international tax journals, local magazines and newspapers.

Qualifications and professional affiliations

- Hong Kong Certificate Public Accountant
- Fellow member of the Taxation Institute of Hong Kong
- Fellow member of the Association of Chartered Certified Accountant



Ricky Cheng
Director & Head of Risk Advisory, BDO

Ricky Cheng is the Director and Head of Risk Advisory of BDO, with major responsibility in the area of risk advisory services. He specializes in assisting clients in managing their internal audit function and meeting corporate governance requirements in both China and Hong Kong.

Ricky has 20 years of risk and assurance experience. He worked for large international accounting firms and had commercial internal audit experience. His expertise covers various services such as Sarbanes-Oxley Compliance, risk management assessment, compliance assistance, corporate governance compliance review, internal audit assistance, business process review, Environmental, Social and Governance (ESG) readiness and reporting support services review, stored value facilities (SVF) licence independent assessment review, etc.

The portfolio of clients managed by Ricky comes from various industries including transportation, property development and construction, gaming and entertainment, hospitality, manufacturing, gold and minerals mining, fast moving consumer goods, retailing and department chain stores, financial institutions such as brokerage houses and derivative products companies.

Ricky is a frequent event speaker of related topics for professional body organisations and training for listed and non-listed companies.

Current and past professional/public appointments

- Governor, The Institute of Internal Auditors Hong Kong (2021 – present)
- Advisory Board member of Global Centre of ESG Education and Research, The Hong Kong Management Association (2021 – present)
- Member, Advisory Peer Group of The Hong Kong Metropolitan University (2020 – present)
- Chairman, Research and Education Committee of The Institute of Internal Auditors Hong Kong (2021 – present)
- Member, Sustainability Committee of The Hong Kong Institute of Certified Public Accounts (2018 – present)
- Member, Training Committee of The Hong Kong Institute of Directors (2013)
- Member, ACCA Hong Kong Committee (2011 – 2013)

Qualifications and professional affiliations

- Fellow of the Hong Kong Institute of Certified Public Accountants
- Fellow of the Association of Chartered Certified Accountants
- Certificate Internal Auditor

**Pokit Lok****Principal of Risk Advisory, BDO**

Pokit Lok is the Principal of Risk Advisory Services of BDO

Pokit possesses over 20 years of extensive experience in IT Audit & Risk Advisory and Enterprise Applications (e.g. RPA, S&OP, PLM, CRM and ERP) Implementation gained in sizable organisations and business technology consultancy firms. He specializes in providing IT related advisory services including IT Governance, IT General Controls & IT Application Controls and business process re-engineering for various types of clients.

In professional area, he has been a CRISC RM SME Reviewer of ISACA and a public course instructor of Hong Kong Productivity Council and Vocational Training Council.

Currently, he provides the consultancy services, such as: ITGC / ITAC review, System Risk Assessment and Audit, Information Security Management Assessment (based on ISO27001, C-RAF and etc), Privacy Impact Assessment, IT system Vulnerability Scan, Advisory on IT Governance, Policies and Procedures, Advisory on Data Management and Analysis and Advisory on Digital Transformation.

Qualifications and professional affiliations

- Certified Information Systems Auditor
- Certified Information Systems Security Professional
- Certified Data Privacy Solutions Engineer
- Certified ISO27001 Lead Auditor
- Certified Lead Forensic Examiner
- Certified in Production and Inventory Management
- Automation Anywhere Certified Advanced RPA Professional (V11)
- UiPath RPA Developer Foundation and Solution Architect
- SAP Consultant Certification (FI/CO)
- SAP Certified Development Associate (ABAP)
- Certificate in Business Accounting

**Daniel Wan****Partner, Head of Capital Market, Grandall Zimmern Law Firm**

Daniel graduated from the University of Leicester, UK with a first class honours degree in law. Daniel was admitted as a solicitor of the High Court of Hong Kong and the Supreme Court of England and Wales.

Daniel specialises in HK IPOs. Daniel has helped 30 companies to list in Hong Kong since 2013. In terms of total number of successful HK IPOs, Daniel's law firm, Addleshaw Goddard is one of the top 10 HK IPO law firms acting for underwriters. Addleshaw Goddard is recognized by Legal 500 under the "Hong Kong – Capital Markets (Equity)" category in four consecutive years of 2018, 2019, 2020 and 2021.

Daniel also took part in HKEX' reforms in 2017, 2018, 2020 and 2021. Daniel represented various sponsor firms and a number of investment bankers to submit responses and provide various suggestions to HKEX.

Daniel provides IPO and sponsor related courses at the HK Law Society, HKICS, HKICPA and HKSI. Daniel also gives seminars to listed companies and investment bankers from time to time.



Sherman Yan

Managing Partner, Head of Litigation & Dispute Resolution, ONC Lawyers



Sherman Yan is the Managing Partner and Head of Litigation & Dispute Resolution of ONC Lawyers. He has many years of experience in handling complex commercial disputes, especially shareholders' disputes in listed companies and regulatory issues under the Securities and Futures Ordinance. Sherman has frequently acts for listed companies, the shareholders, directors and securities investors in dealing with inquiries and investigations by regulatory bodies.

Academically, Sherman also a contributor to legal textbooks including "Securities and Futures Ordinance (Cap.571): Commentary and Annotations" and "Tort Law & Practice in Hong Kong", both published by Sweet & Maxwell and the co-author of "A Practical Guide to Resolving Shareholder Disputes", published by LexisNexis.



Shirley Yu

Senior Manager of Tax, BDO



Shirley Yu is the Senior Manager of Tax of BDO with more than 15 years of China tax experience. She has comprehensive knowledge of China tax and regulatory environment and development.

Shirley has been involved extensively in providing tax planning and restructuring advice to MNCs for intra-group restructuring and the implementation of tax optimization strategies. She specializes in advising MNCs on market entry strategies as well as tax and business regulatory issues such as distribution solutions, foreign exchange and remittance solutions.

Shirley also has experience in assisting clients to negotiate with the PRC tax authorities for minimizing potential tax and transfer pricing exposure in relation to intra-group restructuring, M&A transactions and tax audit / investigation cases.

Before joining BDO, Shirley worked for a Big Four accounting firm as China Tax Senior Manager. She is a member of CPA Australia.



Andrew Yung

Senior Manager of Digital Transformation Services, BDO



Andrew Yung is the Senior Manager of Digital Transformation Services of BDO

Andrew's accounting career began in 2008 as an auditor and joined a leading multinational bank in 2010. He delivered several process optimization projects in the area of Equity Trading Operation and Fund Administration. To promote the accounting profession, Andrew led the Hong Kong Business Development team for CPA Australia before joining an Australia listed cloud accounting software company. Prior to BDO, Andrew was the lead consultant at the Australia listed cloud accounting software company helping accounting firms deliver cloud implementation projects using the latest cloud software and applications.

Andrew is a proactive council member of HKICPA, a member of CPA Australia, and sits in numerous Fintech association committees. He also has a passion for helping startups and is an advisor for the Pan-Asia Venture Development Platform (PAVD), where he assists innovative ventures in fundraising.

Registration Form

CHKLC DIRECTOR TRAINING SERIES 2023

(2 CPT hours per session)

Part 1: Registration Details (Please ✓ the appropriate boxes)

CHKLC Member | BDO Client / Contact | Others

Contact Person:

Company:			Code :	
Address:				
Name:		Job Title:		
Tel.:		Mobile:		Email :

Full Name of Participants: (Please ✓ the appropriate boxes)

No.	Name	Job Title	Email	Session (S) / Full Programme (FP)	Fee
1				<input type="checkbox"/> S () <input type="checkbox"/> FP	
2				<input type="checkbox"/> S () <input type="checkbox"/> FP	
3				<input type="checkbox"/> S () <input type="checkbox"/> FP	
4				<input type="checkbox"/> S () <input type="checkbox"/> FP	
5				<input type="checkbox"/> S () <input type="checkbox"/> FP	

Note: For online registrations made by contacts of BDO, please use the registration codes of "BDO" respectively under the "Partner Code" section.

Total (HK\$) :

Part 2: Programme Details (Please ✓ the appropriate boxes) (Member/Partner: FP \$3240 / S \$600 ; Others: FP \$3780 / S \$700)

1. Full Programme (FP) (20/4, 25/5, 15/6, 14/9, 12/10 & 9/11/2023)
2. Session 1 20 April 2023 (Thu) Hong Kong Tax and PRC Tax
3. Session 2 25 May 2023 (Thu) Cybersecurity and data protection update
4. Session 3 15 Jun 2023 (Thu) SFC and HKEX's Regulatory Oversight, Enforcement Actions and Director's Liabilities
5. Session 4 14 September 2023 (Thu) Governance and oversight over digital transformation in modern business operations
6. Session 5 12 October 2023 (Thu) New requirements of ISSB Standards and reporting trend update
7. Session 6 9 November 2023 (Thu) Annual Regulatory Update 2023

Part 3: Notes

1. Fees paid by crossed cheque should be made payable to "The Chamber of Hong Kong Listed Companies". Please mail to Room 3710, 37/F, Hopewell Centre, 183 Queen's Road East, Wanchai, Hong Kong
2. Bank remittance: *Standard Chartered Bank (Hong Kong) Ltd (HKD S/A: 003-447-1-089308-9)*, please provide the bank-in slip via email to josieling@chkcl.org for confirmation of payment. All local and overseas bank charges are to be borne by remitter.
3. Registration will be confirmed upon full payment by email notification.
4. Registration fees paid are not refundable except if the event is fully booked or cancelled by CHKLC.
5. Participants must attend the whole event including the question & answer session. Otherwise, no CPT hour will be given.
6. CHKLC reserves the right of final discretion should there be any changes to the event.
7. For enquiry, please contact CHKLC's Secretariat at (852) 2970 0886-7 or email to info@chkcl.org.