



In-house Seminar

1 CPT HOUR

Employees' Share Options for Listed Companies - Operational Issues Pertaining to PRC Employees

Speaker: **Mr. Oliver Ng**
Managing Director
Head of Financial Institutions Partnerships Dept.
Equity Sales and Research Division
BOCI Securities Limited

Friday, 10 September 2010

Nowadays, companies become more aware of the importance of incentive plan for meeting human resources requirements. An appropriate and attractive remuneration package and incentive scheme, such as a share option scheme, is essential not only to retaining key executives and personnel, but also to motivating individuals to make greater contributions to the goals of the companies. As more companies are hiring mainland Chinese nationals to be based in the mainland, extending the stock options scheme to these employees require special attention to the mainland's taxation and remittance regulations.

This seminar will explain the issues that companies should take into consideration when adopting and implementing the employee stock options plan, especially for mainland employees. It will cover the following aspects:

1. How to exercise employee share options granted by listed companies?
2. What kind of problems will arise in the exercise of employee share options?
3. Taxation for the Chinese domestic residents
4. Remittance of net proceeds and requirements of SAFE

Details of the Events:

Date	Friday, 10 September 2010	
Time	1:00 p.m. – 2:00 p.m. (Light lunch will be served from 12:30 p.m.)	
Venue	The Chamber of Hong Kong Listed Companies Room 2102, 21/F, Hopewell Centre, 183 Queen's Road East, Wanchai, Hong Kong	
Fee	Members: HK\$180 each	Non-member: HK\$230 each
Language	Cantonese (supplemented in English)	

(Reservation will be confirmed on a first-come-first-served basis.)

小型午餐講座

1 CPT 小時

上市公司僱員認股權 – 與中國僱員相關之具體操作細則

伍子權先生

講者：中銀國際證券有限公司
董事總經理

2010年9月10日(星期五)

現今，企業越來越意識到激勵機制對人才需求的重要性。適當和具有吸引力的薪酬福利和獎勵計劃，例如僱員認股權，不僅能有效地爭取關鍵管理人員和工作人員能繼續留任服務，而且能激勵他們沿著公司目標作出更大的貢獻。隨着更多企業僱用大陸居民在國內工作，為他們提供僱員認股權時的相關稅務和匯款規定便要多加留意。

本研討會主要講解公司在採納並實施僱員認股權時，特別是涉及到大陸員工，需考慮及注意的問題，並就以下幾方面作相關的介紹：

1. 怎樣行使上市公司授予的僱員認股權？
2. 在行使僱員認股權時會面臨那些問題？
3. 國內居民稅務的影響；
4. 現金收益匯回及外管局的要求；

活動詳情：

日期	2010年9月10日(星期五)	
時間	下午1時至2時 (12時30分開始提供輕便午餐)	
地點	香港上市公司商會 香港灣仔皇后大道東183號合和中心21樓2102室	
費用	會員：每位港幣180元	非會員：每位港幣230元
語言	廣東話(輔以英語)	
CPT	1 CPT 小時	

(報名以先到先得方式處理)

ABOUT THE SPEAKER 關於講者

Mr. Oliver Ng 伍子權先生

Mr. Oliver Ng is the Managing Director and Responsible Officer of BOCI Securities Limited. As Head of Financial Institutions Partnerships Department, Oliver leads a team of professionals that promote BOCI's capabilities in electronic trading of equities and futures to local and overseas financial institutions.

Oliver has been instrumental in developing comprehensive solutions for Listed Companies and their employees to conveniently manage their employee share options, share appreciation rights, restricted shares, and other share incentive plans. Since launching the share incentive plan administration service in 2004, BOCI is now managing over 35,000 employees accounts of over 100 PRC based companies listed in Hong Kong and United States Exchanges.

伍子權先生為中銀國際證券有限公司董事總經理。作為銀證合作部主管，伍先生帶領團隊推動中銀國際為本地及海外金融機構提供股票及期貨電子的交易能力。

在為上市公司及他們的僱員提供更方便的管理激勵計劃包括僱員認股權、股票增值權利、限制性股權和其他股權上，伍先生起到了非常重要的作用。自 2004 年激勵計劃管理服務推出以來，中銀國際的專業團隊現為香港及美國上市的逾百家國內公司及 35,000 位員工管理其帳戶。

WHO SHOULD ATTEND 適合參加人士

- Senior management 高級行政人員
- Company Secretaries 公司秘書
- Financial Controllers 財務總監
- Legal Council 法律事務總監
- Accounting Professionals 會計專業人士
- Human Resources Managers 人力資源經理

For enquiry, please contact 查詢詳情:

[The Chamber of Hong Kong Listed Companies](#) 香港上市公司商會

Tel 電話: (852) 2970 0886 - 7

Fax 傳真: (852) 2970 0555

Email 電郵: info@chkcl.org

Online Registration 網上報名: <http://www.chkcl.org/web/eng/events.htm>

In-house Seminar

Employees' Share Options for Listed Companies

10 September 2010

REPLY FORM 回條
(Please reply by 7 September 2010)

1 CPT HOUR

Full Name	Job Title	CPT Cert. required (Y/N)	Tel. & Fax	E-mail
Dr/Mr/Ms/Mrs			Tel: Fax:	
Dr/Mr/Ms/Mrs			Tel: Fax:	
Dr/Mr/Ms/Mrs			Tel: Fax:	
Dr/Mr/Ms/Mrs			Tel: Fax:	
Dr/Mr/Ms/Mrs			Tel: Fax:	
Dr/Mr/Ms/Mrs			Tel: Fax:	

Contact Details

Contact Person:		Title:
Company:		Stock Code:
Address:		
Tel:	Fax:	E-mail:

Booking Deadline : 7 September 2010

Fee:	Member	HK\$180.00 / person	Non-member	HK\$230.00 / person
	Member @\$180.00 x _____		Non-member @\$230.00 x _____	
				Total HK\$
	Crossed cheque#	Bank:		
Crossed cheque should be made payable to: " The Chamber of Hong Kong Listed Companies "				
Please send cheque to: Room 2102, 21/F, Hopewell Centre, 183 Queen's Road East, Wanchai, Hong Kong				

Payment should be settled prior to the event date. 請於活動日期前繳付費用。

NO refund will be given for cancellations received within 3 working days before the event date. 如參加者於活動前3個工作天內通知本會取消報名, 所付費用將不獲退還。

A 100% refund will be given if the event is fully-booked or cancelled by CHKLC. 除非額滿或香港上市公司商會主動取消活動, 否則所有費用均不獲退還。

CHKLC reserves the right for final discretion should there be any changes to the event. 香港上市公司商會有權更改活動內容。

For enquiry, please call the Chamber Secretariat at 2970 0886-7 or email to info@chkcl.org 如有查詢, 請致電本會秘書處 2970 0886-7 或電郵至 info@chkcl.org。